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## STATE OF CALIFORNIA

## BUSINESS, TRANSPORTATION AND HOUSING AGENCY DEPARTMENT OF CORPORATIONS

TO: Robert W. Lambert 1122 Deanna Drive Menlo Park, CA 94025

## **DESIST AND REFRAIN ORDER**

(For violations of sections 25210 of the Corporations Code)

The California Corporations Commissioner finds that:

- 1. Robert W. Lambert possessing no certificate as a broker-dealer or agent offered and sold to at least one resident of California a security in the form of a viatical issued by The Alpha Capital Group, LLC.
- 2. Robert W. Lambert offered and sold a security in the form of a viatical issued by The Alpha Capital Group, LLC to a California resident on January 11, 1999.

Based upon the foregoing findings, the California Corporations Commissioner is of the opinion that Robert W. Lambert has effected transactions in securities as a broker-dealer without first having applied for and secured from the Commissioner a certificate, then in effect, authorizing him to act in that capacity, in violation of section 25210 of the Corporate Securities Law of 1968. Pursuant to Section 25532 of the Corporate Securities Law of 1968, Robert W. Lambert is hereby ordered to **DESIST and REFRAIN** from effecting any transaction in, or inducing or attempting to induce the purchase or sale of, any security in this state, unless and until he has applied for and secured from the Commissioner a certificate, then in effect, authorizing him to act in that capacity.

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1	This Order is necessary, in the public interest, for the protection of investors and
2	consistent with the purposes, policies, and provisions of the Corporate Securities Law of
3	1968.
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5	Dated: December 24, 2002
6	Sacramento, California
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8	DEMETRIOS A. BOUTRIS California Corporations Commissioner
9	Camornia Corporations Commissioner
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11	ByVIRGINIA JO DUNI AP
12	VIRGINIA JO DUNLAP Supervising Counsel Enforcement and Legal Services
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